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Integrating beneficiaries into assessment of ecosystem services from managed forests at the Hubbard Brook Experimental Forest, USA

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Abstract

Background: Forests contribute to human wellbeing through the provision of important ecosystem services.

Methods: In this study, we investigated how the perceived importance of ecosystem services may impact the overall benefit provided by managed watersheds at the Hubbard Brook Experimental Forest over a 45-year period, using standardized measures of service capacity weighted by service importance weights derived from a survey of beneficiaries.

Results: The capacity of watersheds to regulate water flow and quality was high in all watersheds throughout the study period, whereas cultural services such as scenic beauty declined after harvest. Impacts on greenhouse gas regulation depended on the efficiency with which harvested biomass was used. Surveys revealed that stakeholders placed high value on all ecosystem services, with regulating and cultural services seen as more important than provisioning services. When service metrics were weighted by survey responses and aggregated into a single measure, total service provision followed the same overall trend as greenhouse gas regulation. Where biomass use was less efficient in terms of greenhouse gas emissions, harvesting resulted in an overall “ecosystem service debt”; where use was more efficient, this “ecosystem service debt” was reduced. Beneficiaries’ educational backgrounds significantly affected overall assessment of service provision. Beneficiaries with college or university degrees incurred smaller “ecosystem service debts” and were less negatively affected by harvesting overall.

Conclusions: This study highlights the importance of including empirical measures of beneficiary preference when attempting to quantify overall provision of ecosystem services to human beneficiaries over time.

Keywords: Ecosystem services, Forests, Long-term ecological research, Hubbard Brook Experimental Forest, Regulating services

Background

Ecosystem services, broadly defined as the “benefits people receive from nature” (Millennium Ecosystem Assessment 2005), have become the focus of an increasing number of studies exploring the relationship between human society and complex social-ecological systems (Braat 2012; Molnar and Kubiszewski 2012). Although it is only one of the many rich and illuminating “ways of knowing” that the ecological sciences can bring to bear on this topic (Norgaard 2010), the ecosystem

services paradigm provides an intuitive and straightforward lens through which to investigate the role of ecosystems in improving and maintaining human welfare. As conceptualized in the Millennium Ecosystem Assessment (2005), ecosystem services consist of a wide variety of services that provide people with material goods (provisioning services), regulate aspects of the human environment (regulating services) or connect people with their environment and their community (cultural services). Supporting services indirectly benefit people by underpinning these other services.

Using an economic metaphor, the extent to which society benefits from an ecosystem is driven jointly by the ecosystem’s capacity to “supply” services and the use of

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or “demand” for those services by beneficiaries (López-Hoffman et al. 2014; Beier et al. 2015). The capacity of an ecosystem is the extent to which that system is capable of sustaining the processes that underlie potential services, regardless of how much or how little of those services are currently used by beneficiaries. These processes become services when and only when they begin to contribute (directly or indirectly) to human well-being (Bagstad et al. 2014), in other words, when they are “used” by beneficiaries. Without knowing the relative importance of those services to beneficiaries, however, we can have only very limited understanding of the cumulative impact of those services on well-being, or of tradeoffs or synergies among services in terms of total utility.

Among several methods available for understanding the relative importance of services to beneficiaries, monetary valuation is the most established (TEEB 2010). Valuation reduces the value of services to units of currency, which by definition serve as measures of the relative partial utility of each those services to consumers. There are a number of problems associated with monetary valuation, however, particularly for ecosystem services for which there are no functioning markets. Although several methods exist to attach monetary value to non-market benefits, these are all estimates potentially subject to significant error (see Farber et al. 2002).

Furthermore, several authors have examined how monetary valuation has the potential to obscure the ecological processes and intermediate services (supporting and regulating) that ultimately make final ecosystem services possible (Norgaard 2010; Peterson et al. 2010; García-Llorente et al. 2011).

Non-monetary alternatives for ecosystem service valuation are also available (Farber et al. 2002). Surveys, interviews, and workshops have been used to help understand how beneficiaries perceive ecosystem services as well as the value that people place on those services (García-Llorente et al. 2011; Asah et al. 2012; Martín-López et al. 2012; Vihervaara et al. 2012; Gould et al. 2014; Kaye-Zwiebel and King 2014; Muhamad et al. 2014). Additional research has shown that demographics (e.g. Kari and Korhonen-Kurki 2013), social and political influence (e.g. García-Nieto et al. 2015), and economic position (e.g. Díaz et al. 2011) all help to determine the value that individual beneficiaries attach to particular ecosystem services.

Ecosystems provide multiple ecosystem services to people. Consequently, there are tradeoffs inherent in an ecosystem’s biophysical capacity to supply those services simultaneously (Bennett et al. 2009; Reed et al. 2013; Renard et al. 2015; Caputo et al. 2016), and, whenever those services are valued differently by different segments of the population, it becomes impossible to

maximize the welfare of all beneficiaries at once and therefore difficult to make management decisions that are equitable. Quantified measures of relative service importance have the potential to greatly improve efforts to prioritize or select among different management decisions with differing ecosystem service outcomes. For example, Schwenk et al. (2012) demonstrated the use of importance weighting within a multi-criteria analysis (MCA) framework to assess the performance of several forest harvest systems in terms of three different ecosystem services: timber production, greenhouse gas mitigation, and biodiversity. A range of importance values was adopted for each service, and selection of these values largely dictated which of the forest harvest systems best optimized the overall utility function. Although the importance values themselves were hypothetical, Schwenk et al.’s (2012) methods could easily be adapted to incorporate empirically-derived measures of service importance into efforts to compare possible future outcomes in terms of multiple services and multiple beneficiary groups. Schwenk et al.’s (2012) study – like most implementations of MCA (e.g. Buchholz et al. 2009) – are aimed at making an optimal decision at a single point in time. The relationships among individual ecosystem services, however, as well as the capacity of ecosystems’ to provide multiple services, change over time (Renard et al. 2015). The use of empirical measures of ecosystem service value to parameterize an MCA over multiple years – the focus of the current investigation – is a significant step forward in understanding how management decisions influence tradeoffs among ecosystem service benefits to different social groups over ecologically-relevant time periods.

Our study focuses on the potential impacts of forest management and wood utilization practices on provision of ecosystem services in a forested region of New Hampshire, USA. Forests and woodlands provide a number of critical ecosystem services to society throughout the country (Smail and Lewis 2009), including a number of non-market services. These may be of particular relevance in the northeast where forested land is concentrated in the hands of non-industrial private owners who value non-market benefits and services over commodity production (Butler and Leatherberry 2004). Using data from long-term experiments at the Hubbard Brook Experimental Forest, we assessed the capacity of several first-order watersheds to provide a suite of services over a 45-year period. We surveyed citizens of Grafton County, New Hampshire (where Hubbard Brook is located) to determine the relative importance attached by these potential beneficiaries to each individual ecosystem service. Importance values calculated from survey responses were used in conjunction with ecosystem service metrics to calculate an overall index of ecosystem services. This index was used to conduct an

MCA aimed at understanding which forest management and wood utilization practices have the potential to optimize service provision to different segments of the beneficiary population. Our objectives in this study were to 1) demonstrate how beneficiary surveys may be used to estimate non-monetary service values suitable for use in MCA, and 2) illustrate how ecosystem capacity to produce services may change over time in response to management, climate, and other forcing factors, and how that change will determine which management practices (including wood utilization practices) optimize potential benefit flows.

Methods

Site

The Hubbard Brook Experimental Forest, located in Grafton County, New Hampshire, USA is the home of the Hubbard Brook Ecosystem Study, which was initiated in 1955 in order to study the impacts of forest management on hydrology in the northern forest and is a metric of the U.S. National Science Foundation funded Long Term Ecological Research (LTER) network. The site covers 3,160 ha and includes nine gauged first-order catchments that range from 22 to 1,015 m above sea level. Soils are predominantly sandy loams derived from glacial basal till (Typic Haplorthods). Mean precipitation is 1,400 mm. Mean temperature ranges from -9°C in January to 18°C in July. Vegetation is typical of the northern hardwoods forest type, with deciduous hardwoods such as sugar maple (*Acer saccharum* Marshall), yellow birch (*Betula alleghaniensis* Britton), and white ash (*Fraxinus americana* L.) being most common (Campbell et al. 2007).

Of the nine gauged watersheds studied at Hubbard Brook, three watersheds were included in this study. Watershed 4 (WS 4) was harvested using a strip clearcut system; except for streamside buffers, the entire catchment was harvested in sequential 25-m strips in 1970, 1972, and 1974. Watershed 5 (WS 5) was subject to a whole-tree harvest in late 1983. Watershed 6 (WS 6) was left as an untreated reference (Bailey et al. 2003). All watersheds are situated within the larger Pemigewasset River Watershed. Data span from 1963 to 2007.

Quantification of ecosystem services

We selected three regulating services (water flow regulation, water quality regulation, and greenhouse gas regulation) and one cultural service (aesthetics) to quantify at Hubbard Brook based on data availability as well as importance to local beneficiaries. We also quantified the provisioning services associated with the harvest of wood fiber as a source of building material and energy feedstocks. Among these broad service categories, we quantified nine different service metrics.

Water flow regulation (WFR) consists of two metrics, flood prevention and drought mitigation. Flood prevention is defined as the proportion of measurement days in which daily streamflow remains below a high flow threshold. We calculated this threshold by taking the maximum daily capacity of the flood control structure ($\text{m}^2 \text{ day}^{-1}$) at the outlet of the Pemigewasset River Watershed and divided it by the watershed area. The quotient of these two values represents the maximum average drainage per unit area achievable before dam capacity is exceeded. The second metric, drought mitigation, is similarly defined as the proportion of days in which daily streamflow falls below a low flow threshold. The low flow threshold was calculated by taking historical demand for surface water in Grafton County (as recorded by the U.S. Geological Survey) and dividing by the total area of the county.

Water quality regulation (WQR) consists of four metrics, regulation of nitrate, chloride, sulfate, and pH. Each of these metrics is defined as the proportion of measurements in which the pollutant concentration remains within legal drinking water standards. Thresholds for nitrate and chloride were taken from New Hampshire drinking water standards. Thresholds for sulfate and pH were taken from U.S. federal secondary standards.

Aesthetics (SCB) is a cultural service that has been identified as being of primary importance in the region (e.g. Butler 2008). We quantified a single metric for this service, scenic beauty, choosing to use standing woody biomass as a proxy for this metric. Although a more inclusive metric for this service might include landscape structures, non-woody vegetation, measures of structural diversity, etc., we were limited in our data. Our choice to use stand biomass as a proxy for scenic beauty is based on earlier research showing a relationship between aesthetic preferences and related measures: basal area, tree density, and tree size (Hoffman and Palmer 1996; Ribe 2009). Standing biomass was calculated directly from forest inventory data.

We included fiber provision (FP) as a provisioning service with a single metric, biomass harvest, the cumulative mass of biomass harvested within watershed throughout the study period. By default, the value of the service was assumed to be zero at the reference watershed (WS 6). At the other watersheds, harvest intensity was estimated from inventory data based on the change in standing biomass before and after the harvest. Not all biomass that is felled during a logging operation, however, is necessarily taken off site. Only biomass that is actually removed and utilized confers a service to society. In the case of WS 5, which was harvested using a whole-tree harvest system, we assumed that 100 % of the reduction in standing biomass was removed and utilized as products. At WS 4, it was assumed that 70 % of

harvested biomass was utilized and 30 % was left as logging residue (Lippke et al. 2011b). Because beneficiaries might value the provision of biomass differently based on the perceived utility of the end use of that biomass, we assessed three different scenarios based on the relative proportion of biomass going to long-lived wood products (LLWP) vs energy production (specifically cellulosic ethanol): 100 % LLWP, 50 % LLWP + 50 % ethanol, & 100 % ethanol.

Lastly, we assessed aboveground carbon mitigation as a metric of greenhouse gas regulation (GHGR). Aboveground carbon mitigation was defined as the sum of carbon storage in aboveground tree biomass as well as a carbon-use benefit associated with the harvest and use of renewable wood products. Carbon storage in tree biomass was calculated by multiplying standing biomass by the carbon ratio 0.498:1 (Birdsey 1992). The carbon use benefit was estimated using the methodology described by Lippke et al. (2011b) as a sum of three terms: product storage, product substitution, and carbon emissions associated with harvesting. Product storage refers to carbon stored in LLWP when wood is harvested and used for building materials, furniture, etc. This carbon is released back to the atmosphere slowly as LLWP are retired and eventually decompose. When harvested biomass is used as an energy feedstock, on the other hand, carbon stored in the biomass is released into the atmosphere almost immediately. Product substitution is the benefit resulting from the use of relatively low-carbon renewable products (e.g. wood) in place of carbon intensive products (e.g. steel) and fossil fuels. Lippke et al. (2011a, 2012) found the substitution value to be significantly greater when biomass is used for LLWP as compared to energy feedstocks. It is important to acknowledge that the adoption of a product substitution term in this framework assumes that products do in fact substitute for other, more carbon-intensive products. In actuality, however, they might simply be additional to the economy (e.g. if increased availability of bioenergy products reduce overall energy prices, and therefore increase total energy demand) or might simply substitute for other products of similar carbon intensity (e.g. LLWP substituting for other LLWP). Finally, carbon emissions associated with harvesting are subtracted from the storage and substitution pools in order to come up with the final value of the carbon use benefit. In the current analysis, we calculated the value of the aboveground carbon mitigation metric (aboveground carbon storage + use benefit) for biomass used to produce LLWP as well as cellulosic ethanol (Lippke et al. 2012). In total, six scenarios were explored, based on the three FP scenarios and a binary variable indicating whether or not harvested biomass was assumed to substitute for fossil intensive products. In order to estimate product storage,

we assumed that carbon in biomass harvested and utilized for LLWP formed a carbon pool with an 80-year half-life (Perez-Garcia et al. 2005). Because carbon in biofuels is stored for a negligible amount of time, we did not include a product storage term for biomass used to produce ethanol. Substitution benefits were calculated using carbon displacement ratios – or the estimated carbon savings for every unit of carbon in biomass utilized in place of carbon-intensive alternatives. We selected average carbon displacement ratios of 2.1:1 for LLWP and 0.38:1 for cellulosic ethanol (produced via gasification) from Lippke et al. (2012). These values were calculated using life-cycle assessment (LCA) modeling of conventional practices. Carbon emissions from logging operations were assumed to be equivalent to 6 % of the carbon contained in the pre-harvest stand at a site (Lippke et al. 2011b). The final value of the aboveground carbon mitigation metric at a given point in time was calculated by taking the sum of the aboveground biomass and LLWP carbon pools at that point in time, adding total cumulative avoided emissions (i.e. product substitution benefits), and then subtracting total cumulative emissions from management and logging.

All service metrics were assessed on a [0, 1] scale. WFR and WQR metrics were originally calculated on this scale. The values for standing biomass (scenic beauty) and aboveground carbon mitigation were rescaled by dividing by the maximum values for these metrics as calculated for all three watersheds in all six scenarios. The value for the biomass harvest metric was also rescaled by dividing by the maximum value of standing biomass, as this value represents the maximum quantity of fiber that could have been harvested and utilized.

These metrics are not to be interpreted as actual flows (or supply) of ecosystem services, for the simple reason that we do not have information on whether or not benefits actually accrued to local beneficiaries (Villamagna et al. 2013; Bagstad et al. 2014). Instead, they should be seen as indicators of the capacity of the ecosystem to provide services (see Beier et al. 2015). It is important to note here that a distinction can be made between ‘total capacity’ and ‘capacity’ as it is used in this paper. Total capacity refers to the maximum quantity of services that may be produced under current and future conditions, and therefore cannot be known without assessing the functional resilience of the system under a wide range of stresses. Some authors refer to total capacity when using the blanket term capacity (e.g. Villamagna et al. 2013). In this paper, we use the term capacity in a more limited sense, to mean the capacity to produce ‘services commensurate to estimates of demand.’ In other words, we are assessing whether watersheds demonstrate that they can provide the magnitude of services that beneficiaries are likely to demand – without drawing any conclusions

regarding either the total capacity of the system to provide services or the actual benefit flows to beneficiaries (Beier et al. 2015).

The service metrics adopted here have been established as part of the Forest Ecosystem Services Toolkit (FEST); more information on methods, metrics, and datasets can be found on the FEST website at www.forestecoservices.net and in Beier et al. (2015) and Caputo et al. (2016).

Survey of beneficiaries

In order to determine the relative importance attached to ecosystem services by beneficiaries in the vicinity of Hubbard Brook, we distributed an internet questionnaire to a random selection of residents in Grafton County using a mixed-mode implementation design (Babbie 1990; Dillman 2000). The intended sample population was the general adult population (>18 years old) of the county. In order to represent this population, we obtained the names and addresses of 1,000 randomly selected adult residents of the county from a commercial vendor. Invitation letters were mailed to each of these potential participants in July 2013. The letter explained the study, provided the recipient with a unique identification number, and invited them to take part in an internet survey. Over the subsequent two months, two additional follow-up letters were sent to those who did not respond to the initial letter.

The invitation letter provided two means of accessing the survey, either by entering the web address printed in the letter into an internet browser or by scanning a QR code printed in the letter with a cellular phone or web-equipped mobile device. Either would redirect the participant to an online questionnaire hosted through SurveyMonkey – a commercial platform for internet surveys (SurveyMonkey 2014). The use of a mixed-mode format in which mailed invitation letters were used in order to direct respondents to an internet survey was intended as a means of addressing the problems associated with the use of email as a means of making initial contact with a representative sample of the general population (Dillman 2000; Sexton et al. 2011).

The survey instrument consisted of a consent form and a questionnaire containing 17 single and multi-part questions, intended to elicit the respondents' perceptions regarding the importance of a number of ecosystem services and service metrics. Several demographic questions were included and respondents could make additional open-form comments on any aspect of the survey. Three multi-part questions provided the primary data used in the current study (see Additional file 1). One question asked respondents to rate the importance of a generalized list of ecosystem services to his/her household on a scale from 1 ("NOT IMPORTANT AT ALL") to 6

("EXTREMELY IMPORTANT"). Six of these were analyzed in this study; water flow regulation, water quality regulation, greenhouse gas regulation, scenic beauty, provision of timber products, and provision of firewood and other energy feedstocks. Two additional questions asked respondents to rate the importance of several metrics of water flow regulation (flood prevention and drought prevention) and water quality regulation (water that is safe to drink; and water that smells and tastes good) to their families on the same [1,6] scale.

We used linear mixed effects modeling to determine the relationship between the demographic variables and perceived importance attached by respondents to services and service metrics. Linear mixed effects modeling is appropriate where independent variables contain both fixed and random variables (Schabenberger and Pierce 2002). We treated the individual respondent as a random variable and service metric and demographic factors as fixed variables. Perceived importance values were used as the dependant variables. All data analysis for this and subsequent sections was done using R (R Core Team 2013), using core functions as well as the libraries "lmerTest" (Kuznetsova et al. 2014) and "multcomp" (Hothorn et al. 2008).

The choice to use the county boundaries to define beneficiaries reflects a compromise decision based on methodological convenience. In truth, the scale at which ecosystems confer benefits depends on characteristics of the ecosystem, the social system with which it is associated, and the nature of the benefits themselves. For example, the service of greenhouse gas mitigation arguably provides benefits at a global scale, whereas watershed benefits may only be relevant to those beneficiaries living within the portion of the county that intersects the Pemigewasset River Watershed. Moreover, services such as fiber provision and scenic beauty may confer benefits on a local to regional scale. Without extensive economic and sociological research into each of these services individually, it is not possible to accurately identify the correct beneficiary sphere.

Thus, we opted to use the county boundary as a convenient compromise between local and regional scales.

Composite service index

We created a composite service index as a linear combination of the ecosystem service metrics, each weighted by one or more preference weights (Equation 1). All service metrics and preference weights were quantified on a [0, 1] scale. The final index is on a [0, 9] scale – one point for each of the nine service metrics. Preference weights were calculated for each service metric by taking the mean of the relevant survey items (1–7 Likert scale) and rescaling on a [0, 1] scale. The composite service index should be interpreted as a quantification of

the potential aggregate provision of all services, where a value of 0 means that the ecosystem has no capacity to provide valued services and a value of 9 means that the system has sufficient capacity to meet demand for all services and that all services are perceived by beneficiaries as being ‘Extremely Important’.

$$\begin{aligned}
 IN = & (H \times W_H \times W_F) + (L \times W_L \times W_F) \\
 & + (N \times W_S \times W_Q) + (C \times W_A \times W_Q) \\
 & + (S \times W_A \times W_Q) + (P \times W_A \times W_Q) \\
 & + (B \times W_{SC}) \\
 & + ((CB \times W_G) + (CL \times W_G)) \\
 & + ((RB \times W_{RB}) + (RL \times W_{RL}))
 \end{aligned}
 \tag{1}$$

where:

- IN = composite service index
- H = flood prevention
- W_H = weight, flood prevention
- W_F = weight, flow regulation
- L = drought mitigation
- W_L = weight, drought mitigation
- N = nitrate regulation
- W_S = weight, safety of drinking water
- W_Q = weight, water quality regulation
- C = chloride regulation
- W_A = weight, taste and smell of drinking water

- S = sulfate regulation
- P = pH regulation
- B = standing biomass
- W_{SC} = weight, scenic and aesthetic benefits
- CB = carbon benefits of using biomass for energy production
- CL = carbon benefits of using long-lived wood products
- W_G = weight, greenhouse gas regulation
- RB = cumulative removals of biomass for energy production
- W_{RB} = weight, bioenergy production
- RL = cumulative removals of biomass for long-lived wood products
- W_{RL} = weight, long-lived wood products

Results and discussion

Quantification of ecosystem services

Like Renard et al. (2015), we found that the biophysical capacity of the system to provide ecosystem services – and the tradeoffs among those services – changed over time. Flow regulation and water quality regulation services remained stable throughout the study period (Fig. 1), and did not vary meaningfully between the harvested watersheds (WS 4 and 5) and the reference watershed (WS 6). Although there were detectable effects of harvesting on stream discharge and water chemistry,

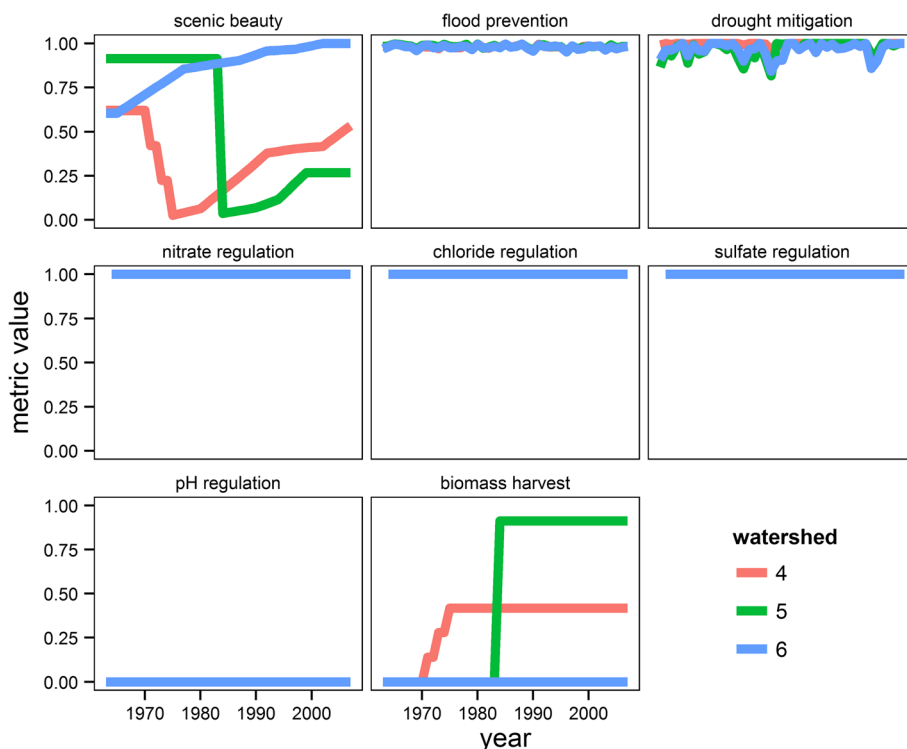


Fig. 1 Annual time series for nine ecosystem service metrics in three watersheds at the Hubbard Brook Experimental Forest, Grafton County, NH, USA. Watershed 6 is a reference watershed, watershed 4 underwent sequential strip clearcuts from 1970 to 1974, and watershed 5 was harvested using a whole-tree harvest treatment in 1983. All services are scaled on a [0, 1] scale

these changes rarely, if ever, exceeded the thresholds used to define service provision. The scaled value of flood regulation remains above 0.95, for example (i.e., less than 4 % of streamflow measurements exceeded the high flow threshold). The drought mitigation metric scored lower but never dropped below 0.81. WQR metrics were less variable (Fig. 1) over the same period. Thresholds for nitrate, sulfate, and chloride were never exceeded (resulting in service metric values = 1.0), while standards for pH were never achieved (values always equal 0) in any of the watersheds. Earlier research also found no tradeoffs between WQR metrics and other services (Beier et al. 2015; Caputo et al. 2016).

In contrast to the water regulation services, fiber provision (biomass removals) and scenic beauty changed notably over time. In WS 6, the estimated value of scenic beauty increased from 0.84 to 1.0 as standing biomass continued to aggrade over time. In WS 4 and WS 5, there was a clear – and unsurprising – tradeoff between biomass removals and scenic beauty. In both watersheds, scenic beauty dropped to nearly zero after harvest and then began to increase once again as forest vegetation recovered. The value of the biomass removal metric was nearly twice as high in WS 5 after harvest as compared to WS 4, both because WS 5 started with a higher level of standing biomass and because all harvested trees were removed and utilized as end products.

Aboveground carbon mitigation (Fig. 2) increased gradually over time in WS 6. Since WS 6 was never harvested, this was solely a result of increased carbon storage in the aggrading stand. In WS 4 and WS 5, which had been harvested, the trend in this metric was determined largely by the end use of the harvested biomass (LLWD or ethanol) and whether or not it was used as a substitute for more carbon intensive products.

When biomass was used to produce ethanol (assuming no substitution), a clear tradeoff between biomass harvest and short-term greenhouse gas mitigation emerged. This is due to the fact that ethanol is stored for only a negligible amount of time before it is consumed and the carbon it contains is returned to the atmosphere. Several studies have highlighted the emergence of such a “carbon debt” after harvesting forests for the production of bioenergy (Fargione et al. 2008; Mika and Keeton 2013). It is important to consider, however, that as forest vegetation recovers after harvest, stand carbon is expected to eventually return to pre-harvest levels and stand-level carbon neutrality will be attained (Lippke et al. 2011a; Walker et al. 2013).

When harvested biomass was used to produce LLWP instead of ethanol (still assuming no substitution), the tradeoff between biomass harvest and carbon mitigation lessened or disappeared. In WS 4, where 30 % of felled

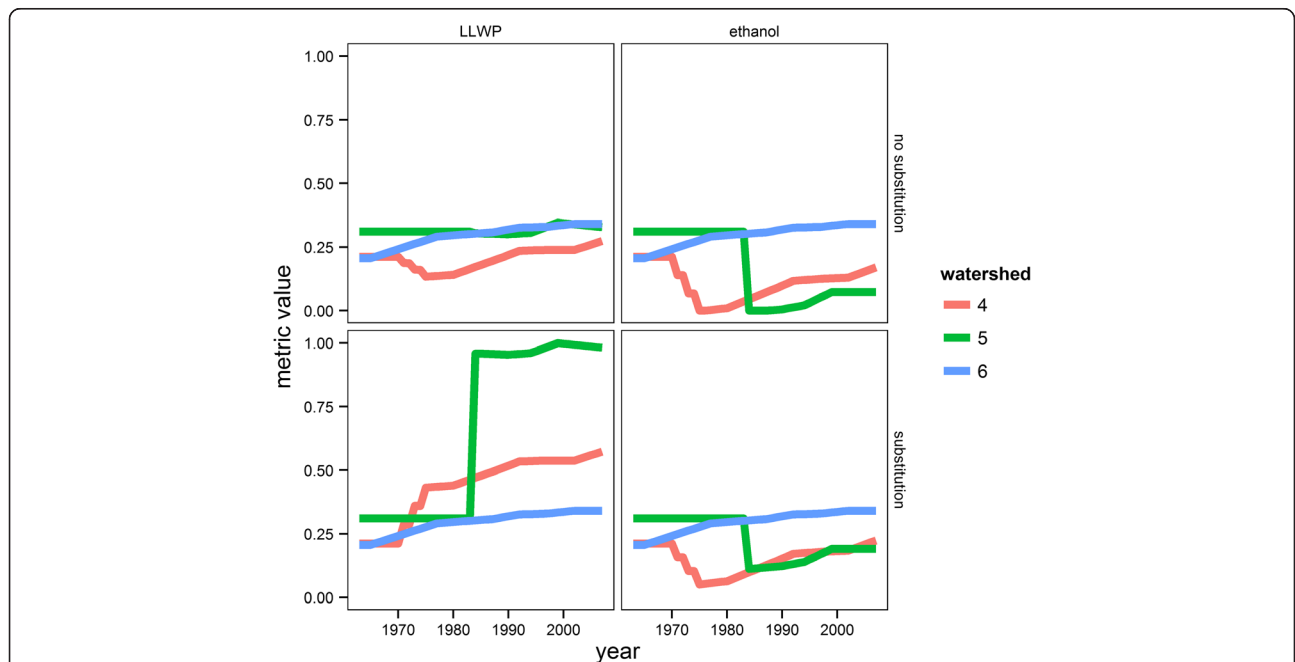


Fig. 2 Annual time series for greenhouse gas regulation in three watersheds at the Hubbard Brook Experimental Forest, Grafton County, NH, USA, where harvested products are used for the production of long-lived wood products (LLWP) or ethanol, as well as where biomass products do or do not substitute for more fossil fuel-intensive products. The value of the service is computed as a sum of aboveground stand carbon, emissions associated with harvest, product storage (LLWP), and product substitution. Watershed 6 is a reference watershed, watershed 4 underwent sequential strip clearcuts from 1970 to 1974, and watershed 5 was harvested using a whole-tree harvest treatment in 1983. The service is scaled on a [0, 1] scale

biomass was left as residue to decompose, there was a small drop in the overall aboveground carbon mitigation metric. Within 20 years, however, regrowth of the stand returned this metric to pre-harvest levels. In WS 5, where harvested materials were 100 % utilized, all carbon harvested was immediately transferred to the long-term product storage pool and no “carbon debt” was incurred.

Assuming that harvested biomass would substitute for fossil-intensive products increased the value of the carbon mitigation metric in stands WS 4 and WS 5. This increase was small in the case of ethanol: only 0.38 units of fossil carbon are avoided for every 1 unit of carbon in ethanol substituting for petroleum fuels (Lippke et al. 2012). In this case, the carbon benefits of product substitution were insufficient to compensate for the loss of carbon during harvest and WS 4 and WS 5 still incurred a “carbon debt”. The magnitude of this debt was less than in the case where no substitution was assumed, however. Comparatively, the use of LLWP in place of fossil-intensive substitutes (steel, concrete, etc.) results in much larger quantities of avoided carbon: 2.1 units for every 1 unit in the biomass itself (Lippke et al. 2012). Because the carbon displacement ratio in this case is greater than 1:1 (i.e. the loss of carbon due to harvesting biomass is less than the benefits gained by producing and using LLWP), the carbon mitigation metric actually increased after harvest. In WS 5, the scaled value for this metric increased from 0.31 to 0.96 in the harvest year. In WS 4, where less than half as much biomass was removed, the value increased from 0.21 in the year before harvest to 0.43 after the third harvest was completed. In both stands, aboveground carbon continued to increase after harvest as biomass regenerated and stand carbon recovered.

Survey of beneficiaries

Of the 1,000 invitations distributed to Grafton County residents, 51 unique complete or partial surveys were submitted and 131 invitations could not be delivered, for an adjusted response rate of 5.9 %. This response rate is low compared with reported average response rates for internet surveys; however, decreased response rates for internet surveys have been found when mail is used as the solicitation medium as well as when surveys are directed at the general population (Manfreda et al. 2008).

The median respondent was 53 years old, likely to have a bachelor's or graduate degree (72.3 %), and likely to be earning more than \$50,000 a year (69.0 %) – the approximate median U.S. income (Table 1). The numbers of female and male respondents were equal. All respondents identified themselves as exclusively white in racial makeup. Values for gender and race (% white) were within 10 % of the reported census values for these

Table 1 Summary of demographic and response data from a survey pertaining to perceived importance of forest ecosystem services in Grafton County, NH, USA

Variable	Value
Age, median	53 years
Percent identifying as male	50.0 %
Percent identifying solely as white	100 %
Percent with Bachelor's or Graduate degree	72.3 %
Percent earning less than \$50,000 year ⁻¹	31.0 %
Percent owning forestland	44.9 %
Median area of forestland owned by those who own forestland	6.5 acres (2.6 ha)
Percent deriving income from forests	12.2 %
Percent believing that forests are VERY or EXTREMELY important in improving and maintaining quality of life for themselves and their families	81.6 %
Number of responses	51
Adjusted response rate	5.9 %

counties. However, survey respondents reported being older, wealthier, and with more formal education than the county as a whole (U.S. Census Bureau 2000; 2010; 2014). Given the low response rate and indicators of likely non-response bias, our results should not be interpreted as a characterization of the full population of the surveyed county (responses represent < 0.01 % of county residents). However, the results remain useful in that they demonstrate a methodology for deriving measures of ecosystem service importance directly from a beneficiary population.

Nearly half of survey respondents (44.9 %) own forest land, and the median size of these parcels was 6.5 acres (2.6 ha). The relatively small size of individual holdings support what is known about forest holdings in this region: the median size of individual parcels is less than 10 acres (4.0 ha) in New Hampshire (Butler 2008). Only a small number of respondents reported deriving income from forests (12.2 %), although 81.6 % of respondents declared a belief that forests are either very or extremely important in “improving and maintaining quality of life” for themselves and for their immediate families. The perception that forests provide important services that improve quality of life without providing any income may partially explain the findings of Butler and Leatherberry (2004), which indicated that most forest landowners in this region have primarily non-market objectives for their land. 21 of the 51 respondents live within the Pemigewasset River Watershed, and we found no significant difference (at the $\alpha = 0.10$ significance level) between those respondents and respondents living outside the watershed in terms of the importance they attached to individual ecosystem services ($p = 0.35$).

Overall, respondents attached relatively high importance to all services. The median importance value among individual ecosystem services was a 5 (“VERY IMPORTANT”). Linear mixed effects modeling results indicated that significant variation in importance value (at the $\alpha = 0.10$ significance level) was explained by the type of service ($p < 0.0001$) and the respondent’s educational background ($p = 0.0701$). Among services, the regulating and cultural services were perceived as being of greater importance than provisioning services; provision of timber and energy feedstocks were each seen as being significantly less important than each of the other services (with the exception of flood regulation). This may be due to the fact that respondents were relatively wealthy by national and global standards and, although many owned forest land, few derived any income from that land. A number of studies have suggested that poor and/or rural individuals dependent on natural resources as a source of income are more likely to perceive and value provisioning services (Kari and Korhonen-Kurki 2013; Muhamad et al. 2014) whereas wealthier, urbanized individuals are more likely to perceive and value regulating and supporting services (Martín-López et al. 2012). Among services in these categories, respondents in our study attached significantly less importance to flood prevention than all others. This may be because flood prevention is less visible and intuitive than more tangible benefits such as clean water, wood, or scenic beauty. Alternatively, it may be because a large proportion of respondents believe that they live outside of a flood zone and therefore that this benefit is irrelevant to them.

The educational background factor included three levels referencing a respondent’s highest level of higher education – no college or university degree, a bachelor’s

or associate’s degree, or a graduate degree. Respondents with a greater level of education generally attached greater importance to ecosystem services compared to those with less education. However, this difference was only significant at the $\alpha = 0.10$ significance level between graduate degree- holders and those without a degree ($p = 0.0637$). Education may increase beneficiaries’ perception and valuation of ecosystem benefits either because that premise is imparted directly as part of curricula, or because formal education improves the abstract thinking skills necessary for perceiving the roles of many invisible, intangible supporting and regulating services in improving human welfare (García-Llorente et al. 2011). Unfortunately, the exact nature of the relationship between education and perception of ecosystem services is not well studied, and other studies have found unclear and inconsistent relationships (e.g. Muhamad et al. 2014).

Since educational background had a statistically significant effect on respondents’ perception of the importance of ecosystem services, we decided to calculate separate sets of preference weights for each of the three levels of this factor. We did this by taking the mean of the relevant survey items (1–7 Likert scale) for all respondents within each group and rescaling them on a [0, 1] scale.

Composite service index

The overall preference weights ranged from 0.67 (energy/timber) to 0.95 (safety of drinking water). Within the three educational groups, weights ranged from 0.50 (timber, no degree) to 1.0 (safety of drinking water, graduate degree). In all cases, the importance weights were larger for those with a higher level of education (Table 2).

Using the overall preference weights, the values of the composite service index never fell below 4.09 across all

Table 2 Forest ecosystem service preference weights (i.e. importance values), derived from a survey of residents in Grafton County, NH, USA

Service	Level of higher education			
	All	No degree	Bachelor’s or associate’s degree	Graduate degree
Flow regulation	0.85	0.67	0.77	0.93
Flood prevention	0.74	0.67	0.70	0.78
Drought mitigation	0.87	0.83	0.85	0.92
Water quality regulation	0.90	0.77	0.87	0.95
Safety of drinking water	0.95	0.83	0.93	1.00
Smell/taste of drinking water	0.87	0.77	0.88	0.89
Scenic beauty	0.89	0.80	0.88	0.91
GHG regulation	0.84	0.60	0.76	0.95
Timber provision	0.67	0.40	0.64	0.75
Fiber provision (energy)	0.68	0.53	0.63	0.73

Importance values are calculated for the entire pool of respondents, as well as disaggregated by beneficiaries’ level of higher education. A value of 0 corresponds to a service or metric being perceived by beneficiaries as ‘NOT IMPORTANT AT ALL’; a value of 1 corresponds to the service being perceived as being ‘EXTREMELY IMPORTANT’

watersheds and scenarios (Fig. 3). In the lowest-scoring scenario (100 % ethanol, no substitution), the value of the index in the reference watershed (WS 6) increased slowly from 4.47 to 4.97 over the 45-year period. In WS 4 and WS 5, index scores declined abruptly after harvest and gradually recovered to approach pre-harvest conditions. These temporal changes were driven by changes in scenic beauty, greenhouse gas regulation, and fiber provision, as water flow regulation and water quality services remained relatively consistent between watersheds and did not respond strongly to harvest (Fig. 1).

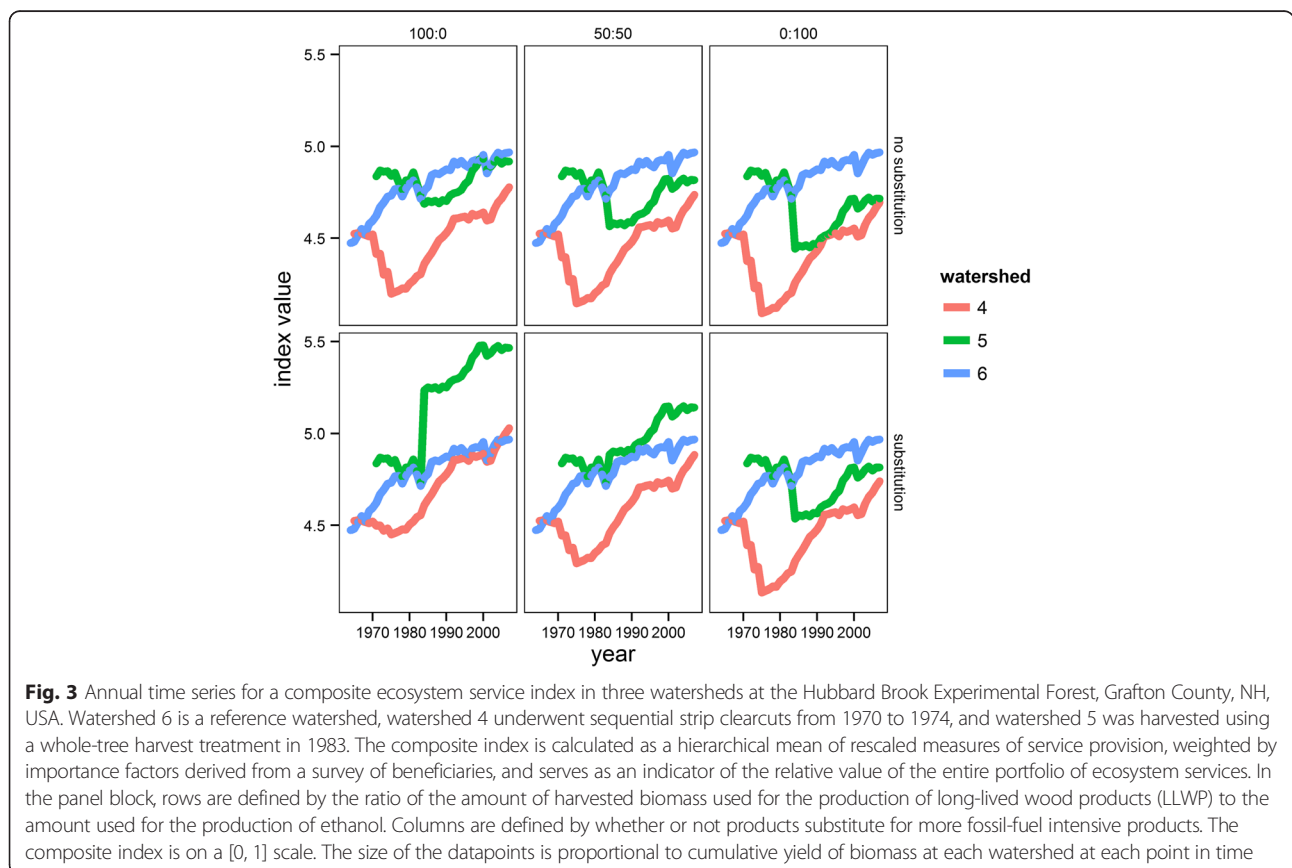
As expected, harvesting led to immediate declines in scenic beauty and aboveground carbon mitigation in the 100 % ethanol scenario. The value of the composite index, however, recovered more rapidly than standing biomass. These dynamics emerge because the benefits associated with harvesting biomass for conversion to ethanol – and to a lesser extent the partial carbon benefit of using that ethanol in place of fossil fuels – serve to partially mitigate the net loss in service provision associated with harvesting. This reduces the necessary recovery time of the composite service index.

Over several harvest rotations, we hypothesize that, although values for scenic beauty (standing biomass) and carbon storage in standing trees would oscillate over

time as vegetation grows and is cut, the cumulative positive impact of the fiber harvest and carbon substitution benefits would result in higher overall service provision in harvested watersheds versus the unharvested reference. If true, the temporal pattern associated with this “ecosystem service debt” would be very similar to the patterns associated with “carbon debt”: an initial decline following by a permanent (and increasing) benefit associated with use of biomass products (e.g. Lippke et al. 2011b; Walker et al. 2013).

The results of the other five scenarios demonstrate that the end use of harvested biomass is instrumental in determining the magnitude of the change after harvest, and the length of the recovery period. In the reference watershed (WS 6), no products were harvested and the composite index was therefore unaffected by the LLWP: ethanol ratio or the substitutability of end products. By definition, the composite index in this watershed remained the same under all six scenarios.

In the harvested WS 4 and WS 5, allocating a larger proportion of biomass to LLWP as well as assuming product substitution both increased the value of the index, similar to the pattern seen for the aboveground carbon mitigation metric alone (Fig. 2). In WS 5, where biomass utilization was highest, only the 100 % ethanol



scenarios resulted in an initial “ecosystem services debt”. If at least 50 % of biomass went into LLWP the whole-tree harvest treatment resulted in an immediate increase in the composite score. In the best-performing scenario (100 % LLWP, substitution), the composite score in WS 5 increased in the year after harvest from 4.73 to 5.23. In all scenarios, WS 4 – where utilization of harvested biomass was less efficient – experienced an “ecosystem services debt” after harvest, with recovery periods ranging from a few years (100 % LLWP, substitution) to approximately 20 years (100 % ethanol, no substitution).

When the composite service index was calculated using a separate set of importance weights for each of the three groupings of the education factor, we see similar patterns; where harvested biomass is utilized for the production of ethanol (and product substitution is not assumed), the index initially declines after harvest and increases as harvested stands recover biomass and carbon (Fig. 4). At all points in time, however, the index is somewhat higher for those with degrees as degree-holders value ecosystem service metrics more highly overall than those without degrees (Table 2). Regardless of education however, harvesting in WS 4 and WS 5 results in a decline in the value of the index (an “ecosystem service” debt) when harvested

biomass is used for production of ethanol and no substitution is assumed. The value of the index recovers in ~20 years in WS 4, but does not seem to fully recover in WS 5. Instead, the value of the index stabilizes at a lower value than before the harvest.

Where harvested biomass is used for LLWP instead of biofuels, the overall value of the index is higher across all watersheds for all education groups. Whereas those without degrees incur a loss in total ecosystem services after harvest regardless of the end use of the biomass, those with degrees do not always perceive this “ecosystem service debt”. For example, the value of the composite index for those with graduate degrees did not change after the whole-tree harvest in WS 5, where the biomass was utilized for LLWP. Those with bachelors’ degrees, on the other hand, perceived an ecosystem services debt that recovered within a few years; those without degrees did not perceive recovery of the index by the end of study period. When harvested materials are used for LLWP, differences in index values between education groups were larger than when biomass went to ethanol production (Fig. 4). This is true largely because harvesting for LLWP provides large GHGR benefits in addition to the value of the wood products themselves (Fig. 2)

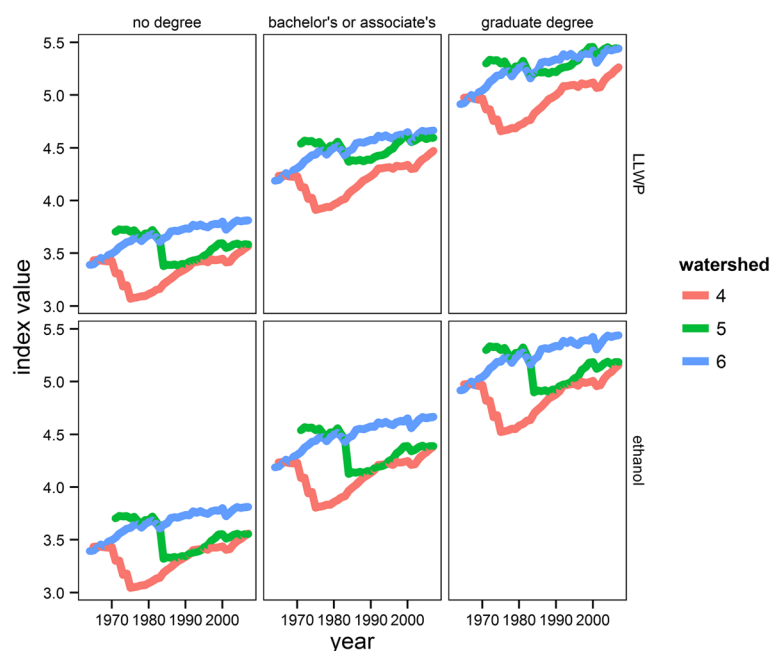


Fig. 4 Annual time series for a composite ecosystem service index in three watersheds at the Hubbard Brook Experimental Forest, Grafton County, NH, USA. Watershed 6 is a reference watershed, watershed 4 underwent sequential strip clearcuts from 1970 to 1974, and watershed 5 was harvested using a whole-tree harvest treatment in 1983. The composite index is calculated as a hierarchical mean of rescaled measures of service provision, weighted by importance factors derived from a survey of beneficiaries, and serves as an indicator of the relative value of the entire portfolio of ecosystem services. Panel rows are defined by the level of secondary education attained by those beneficiaries whose responses were used to weight the index in that row. Panel columns are defined by whether harvested biomass for used for the production of long-lived wood products (LLWP) or ethanol. Products are not assumed to substitute for more fossil-fuel intensive products. The composite index is on a [0, 1] scale. The size of the datapoints is proportional to cumulative yield of biomass at each watershed at each point in time

and the GHGR service is significantly more highly valued among respondents than provision of wood products (Table 2). Additionally, the difference in value weights between those with the least education and those with the most education is greater for LLWP as compared to energy productions (a mean difference of 0.35 vs. 0.20). In WS 4, where utilization of biomass for LLWP was less efficient and product removals were unable to fully compensate for the loss of standing biomass, all respondents perceived an ecosystem services debt (and subsequent recovery) during the study period. Regardless of whether harvested biomass went to ethanol or LLWP, assuming product substitution increased the value of the harvested stands relative to when product substitution was not assumed.

The calculation of the composite service index can be interpreted as the core step in the MCA process: the highest value at a given point in time indicates which practices (both silviculture and wood use) provide maximum utility to beneficiaries. At Hubbard Brook, however, the “optimal” practices depend on *who* the beneficiaries are and *when* the index is calculated (i.e. the point in time being considered). Our results highlight the importance of including both empirical measures of service value and temporal dynamics in efforts to better understand how managed ecosystems benefit people.

It is important to recognize that the composite index we estimated is not complete or exhaustive— it is a specific product of the nine particular service metrics that we were able to quantify. There are many other ecosystem services being provided by the forests of New Hampshire and including additional metrics would likely change both the absolute value of the index as well as the ranking among stands and management practices. For example, the greater amount of biomass harvested in WS 5 (and the greater GHGR benefits when that biomass was used for LLWP) resulted in this stand often receiving a greater value of the composite service index relative to WS 4. Whole-tree harvesting, however, is known to lead to significant damage to soil and water resources (Lattimore et al. 2013). The buffer strips and residues left in WS 4 resulted in less biomass being removed from the stand (which in turn lead to lower provisioning and GHGR benefits), but they may also have led to increased provision of other regulating and supporting services which were not quantified and therefore not included in the composite index. Inclusion of these benefits would likely have compensated to some extent for the greater provisioning/GHGR services provided by WS 5. This example illustrates the potential sensitivity of MCA (and all benefit aggregation schemes) to exactly which metrics are included in the analysis – particularly when those metrics are highly valued by beneficiaries or are likely to result in

significant tradeoffs with those metrics that have already been included.

One additional caveat regarding the composite index: a primary weakness of this initial study is the temporal scale mismatch between our ecosystem service metrics and the beneficiary values derived from the survey. The service metrics were calculated on an annual basis, from 1963 to 2007, whereas the beneficiary values were calculated from a single survey conducted in 2013. Because we applied these values to each time step in the data series, we made the implicit assumption that beneficiary preferences were static throughout the 45-year period – an assumption that is unlikely to be true. Consequently, the changes we found in the composite service index for a given watershed over time exclusively reflect changes in that watershed’s capacity to provide those services. In reality, changes in potential or actual service flows reflect the dynamic nature of service demand as well as variability in supply. Going forward, implementation of a longitudinal survey design would add greatly to the value of this type of analysis, allowing researchers to simultaneously track changes in supply and demand and making possible a richer and more meaningful interpretation of aggregate indices.

Conclusions

At the Hubbard Brook Experimental Forest, water flow regulation and water quality regulation services were provided at relatively high levels and were largely unaffected by harvest throughout the entirety of the study period. In contrast, scenic beauty value was negatively impacted by harvesting, as was aboveground carbon mitigation where harvested biomass was used for the production of ethanol or where products were assumed to be additional (i.e. not substitutable) within the existing material economy. When biomass was fully allocated to LLWP replacing carbon-intensive products, however, the value of the carbon mitigation service increased after harvest.

According to the results of our survey, local service beneficiaries perceived the ecosystem services provided by forests and woodlands are being relatively important to their families.

Among all respondents, the median importance value attached to individual ecosystem services was a 5 (“VERY IMPORTANT”). Furthermore, more than 80 % of respondents thought that forests were VERY or EXTREMELY important in “improving and maintaining quality of life” for themselves and their families. Respondents attached significantly greater importance to regulating and supporting services as compared to provisioning services. We found that educational background had a significant positive effect on the perceived importance of ecosystem services overall.

In most cases, beneficiaries experienced a net loss of ecosystem services after forest harvest. Where biomass was used to produce LLWP in place of more carbon-intensive products, however, intensive harvesting resulted in an immediate increase in the value of the composite service index. This suggests that, where beneficiaries place high value on GHG regulation, constraining harvested biomass to those uses with the highest life-cycle carbon benefits has the potential to shift the trajectory of the aggregate value of the entire ecosystem services portfolio – not just the value of the GHG regulation service itself. Beneficiaries with greater levels of university or college education perceived a greater overall level of ecosystem service provision.

Although in most cases both groups perceived a loss of overall services after harvesting, that loss was less and quicker to recover for beneficiaries with degrees. In fact, degree-holders did not incur an “ecosystem service debt” at all when biomass from WS 5 was used to produce LLWP – instead, they saw an immediate increase in overall service provision.

This study should be seen as an early effort to assess the effects of demographics on the perceived importance of forest ecosystem services in the northeastern U.S.A., as well as a demonstration of the value of using empirical measures of perceived importance to weight measures of ecosystem service provision. This constitutes a valuable contribution to efforts to quantify ecosystem services and provides a plausible methodological alternative to economic valuation for aggregating multiple services. An interactive visualization of the composite index at Hubbard Brook can be found at www.forestecoservices.net/hbcomp.php. Users can manipulate importance weights, allocate the ratio of LLWD:ethanol, and decide whether products do or do not substitute for steel, fossil fuels, and or other carbon-intensive products. By doing so, a wider range of scenarios than those illustrated in Figs. 3 and 4 be can explored and visualized.

Finally, it is appropriate here to reemphasize the limitations of this particular study. The low response rate (5.9 %) coupled with likely non-response bias suggests that it would be inappropriate to generalize these findings to the resident population of Grafton County, NH. In particular, census data suggest that residents of this county are younger, less wealthy, and have less formal education than those who responded to the questionnaire. Future efforts should focus on implementation methods that increase response rate, include efforts to measure and quantify non-response bias, and possibly incorporate the use of weighting methods to bring results into closer correspondence with the demographics of the target population. In addition, adoption of a longitudinal survey methodology would provide multiple point-in-time measures that would make it possible to

identify changes in aggregate service provision resulting from changes in beneficiaries’ perceptions - i.e. demand as opposed to supply. Another possible limitation of this study is the fact that the majority of respondents rated the importance of all ecosystem services quite highly. This could be a result of a genuine perception that most services are very important (especially if the low response rate reflects a response bias towards those who place greater value on ecosystem services), but it could also result from a failure to frame questions in an adequate context. Future efforts may include refinements in wording, the inclusion of traditional goods and services alongside ecosystem services, and different types of questions (e.g. discrete choice experiments) to elicit a more nuanced and accurate assessment of the importance of benefits from ecosystem services.

Additional file

Additional file 1: Survey instrument used to elicit the perceived value of ecosystem services by residents of Grafton County, NH, USA. (PDF 367 kb)

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Authors’ contributions

JC and CMB conducted all analyses, interpreted results and wrote and revised the text. VAL and PMG made substantial contributions to the conception and design of the study. PMG was involved in the acquisition and curation of ecological data; JC collected all social data. All authors read and approved the final manuscript.

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JC and CMB are multi-disciplinary scholars with expertise in social-ecological systems and ecosystem services. VAL serves as the provost of her institution, with career expertise in social science, social-ecological systems, and multi-disciplinary scholarship. PMG is an ecosystems ecologist and one of the principal investigators of the Hubbard Brook Ecosystem Study.

Competing interests

The authors declare that they have no competing interests.

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